

<u>DB Name</u>	<u>Query</u>	<u>Hit Count</u>	<u>Set Name</u>
USPT	l15 and l16	32	<u>L17</u>
USPT	((705/37)!.CCLS.)	168	<u>L16</u>
USPT	l14 and match\$3	90	<u>L15</u>
USPT	l10 and l12	158	<u>L14</u>
USPT	l4 and l12	656	<u>L13</u>
USPT	(trader or broker or agent or institution dealer)	487730	<u>L12</u>
USPT	l9 and l10	41	<u>L11</u>
USPT	705/36 ((37/)!.CCLS.)	237	<u>L10</u>
USPT	l1 and l4	6945	<u>L9</u>
USPT	l1 and l3	7	<u>L8</u>
USPT	l1 and l3	7	<u>L7</u>
USPT	l2 and l3	3	<u>L6</u>
USPT	l3 and l4	3	<u>L5</u>
USPT	l1 and l2	6945	<u>L4</u>
USPT	institution same broker same agent	7	<u>L3</u>
USPT	(order\$3 or trade or buy or sell) near5 (execute or executing or execution)	11517	<u>L2</u>
USPT	(match\$3 or compare or comparing)	541049	<u>L1</u>

WEST[Generate Collection](#)**Search Results - Record(s) 1 through 10 of 32 returned.**☐ 1. Document ID: US 6112189 A

L17: Entry 1 of 32

File: USPT

Aug 29, 2000

US-PAT-NO: 6112189

DOCUMENT-IDENTIFIER: US 6112189 A

TITLE: Method and apparatus for automating negotiations
between parties

Full	Title	Citation	Front	Review	Classification	Date	Reference	Claims	KWC	Draw. Desc	Image
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☐ 2. Document ID: US 6098051 A

L17: Entry 2 of 32

File: USPT

Aug 1, 2000

US-PAT-NO: 6098051

DOCUMENT-IDENTIFIER: US 6098051 A

TITLE: Crossing network utilizing satisfaction density
profile

Full	Title	Citation	Front	Review	Classification	Date	Reference	Claims	KWC	Draw. Desc	Image
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☐ 3. Document ID: US 6092056 A

L17: Entry 3 of 32

File: USPT

Jul 18, 2000

US-PAT-NO: 6092056

DOCUMENT-IDENTIFIER: US 6092056 A

TITLE: Data processing system and method for financial debt
instruments

Full	Title	Citation	Front	Review	Classification	Date	Reference	Claims	KWC	Draw. Desc	Image
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☐ 4. Document ID: US 6085176 A

L17: Entry 4 of 32

File: USPT

Jul 4, 2000

US-PAT-NO: 6085176
DOCUMENT-IDENTIFIER: US 6085176 A
TITLE: Method and apparatus for using search agents to
search plurality of markets for items

Full	Title	Citation	Front	Review	Classification	Date	Reference	Claims	KWC	Draw Desc	Image
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☐ 5. Document ID: US 6085174 A

L17: Entry 5 of 32 File: USPT Jul 4, 2000

US-PAT-NO: 6085174
DOCUMENT-IDENTIFIER: US 6085174 A
TITLE: Computer assisted and/or implemented process and
architecture for administering an investment and/or
retirement program

Full	Title	Citation	Front	Review	Classification	Date	Reference	Claims	KWC	Draw Desc	Image
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☐ 6. Document ID: US 6078906 A

L17: Entry 6 of 32 File: USPT Jun 20, 2000

US-PAT-NO: 6078906
DOCUMENT-IDENTIFIER: US 6078906 A
TITLE: Method and system for providing a document service
over a computer network using an automated brokered auction

Full	Title	Citation	Front	Review	Classification	Date	Reference	Claims	KWC	Draw Desc	Image
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☐ 7. Document ID: US 6064986 A

L17: Entry 7 of 32 File: USPT May 16, 2000

US-PAT-NO: 6064986
DOCUMENT-IDENTIFIER: US 6064986 A
TITLE: Computer assisted and/or implemented process and
architecture for customer account creation, maintenance and
administration for an investment and/or retirement program

Full	Title	Citation	Front	Review	Classification	Date	Reference	Claims	KWC	Draw Desc	Image
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☐ 8. Document ID: US 6049783 A

L17: Entry 8 of 32

File: USPT

Apr 11, 2000

US-PAT-NO: 6049783

DOCUMENT-IDENTIFIER: US 6049783 A

TITLE: Interactive internet analysis method

Full	Title	Citation	Front	Review	Classification	Date	Reference	Claims	KWC	Draw	Desc	Image
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☐ 9. Document ID: US 6038550 A

L17: Entry 9 of 32

File: USPT

Mar 14, 2000

US-PAT-NO: 6038550

DOCUMENT-IDENTIFIER: US 6038550 A

TITLE: Method and apparatus for managing interest on a developing series of financial transactions in several memories

Full	Title	Citation	Front	Review	Classification	Date	Reference	Claims	KWC	Draw	Desc	Image
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☐ 10. Document ID: US 6035289 A

L17: Entry 10 of 32

File: USPT

Mar 7, 2000

US-PAT-NO: 6035289

DOCUMENT-IDENTIFIER: US 6035289 A

TITLE: Method and apparatus for electronic trading of carrier cargo capacity

Full	Title	Citation	Front	Review	Classification	Date	Reference	Claims	KWC	Draw	Desc	Image
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Search Results - Record(s) 11 through 20 of 32 returned.

☐ 11. Document ID: US 6021398 A

L17: Entry 11 of 32

File: USPT

Feb 1, 2000

US-PAT-NO: 6021398

DOCUMENT-IDENTIFIER: US 6021398 A

TITLE: Computer implemented methods and apparatus for
auctions

Full	Title	Citation	Front	Review	Classification	Date	Reference	Claims	KMC	Draw	Desc	Image
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☐ 12. Document ID: US 6018721 A

L17: Entry 12 of 32

File: USPT

Jan 25, 2000

US-PAT-NO: 6018721

DOCUMENT-IDENTIFIER: US 6018721 A

TITLE: Method and system for improved collateral monitoring
and control

Full	Title	Citation	Front	Review	Classification	Date	Reference	Claims	KMC	Draw	Desc	Image
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☐ 13. Document ID: US 6016483 A

L17: Entry 13 of 32

File: USPT

Jan 18, 2000

US-PAT-NO: 6016483

DOCUMENT-IDENTIFIER: US 6016483 A

TITLE: Method and apparatus for automated opening of options
exchange

Full	Title	Citation	Front	Review	Classification	Date	Reference	Claims	KMC	Draw	Desc	Image
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☐ 14. Document ID: US 6014643 A

L17: Entry 14 of 32

File: USPT

Jan 11, 2000

US-PAT-NO: 6014643
DOCUMENT-IDENTIFIER: US 6014643 A
TITLE: Interactive securities trading system

Full	Title	Citation	Front	Review	Classification	Date	Reference	Claims	KWC	Draw Desc	Image
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☐ 15. Document ID: US 6012046 A

L17: Entry 15 of 32 File: USPT Jan 4, 2000

US-PAT-NO: 6012046
DOCUMENT-IDENTIFIER: US 6012046 A
TITLE: Crossing network utilizing satisfaction density
profile with price discovery features

Full	Title	Citation	Front	Review	Classification	Date	Reference	Claims	KWC	Draw Desc	Image
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☐ 16. Document ID: US 5978778 A

L17: Entry 16 of 32 File: USPT Nov 2, 1999

US-PAT-NO: 5978778
DOCUMENT-IDENTIFIER: US 5978778 A
TITLE: Automated strategies for investment management

Full	Title	Citation	Front	Review	Classification	Date	Reference	Claims	KWC	Draw Desc	Image
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☐ 17. Document ID: US 5950176 A

L17: Entry 17 of 32 File: USPT Sep 7, 1999

US-PAT-NO: 5950176
DOCUMENT-IDENTIFIER: US 5950176 A
TITLE: Computer-implemented securities trading system with a
virtual specialist function

Full	Title	Citation	Front	Review	Classification	Date	Reference	Claims	KWC	Draw Desc	Image
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☐ 18. Document ID: US 5946666 A

L17: Entry 18 of 32 File: USPT Aug 31, 1999

US-PAT-NO: 5946666

DOCUMENT-IDENTIFIER: US 5946666 A

TITLE: Monitoring device for financial securities

Full	Title	Citation	Front	Review	Classification	Date	Reference	Claims	KWC	Draw Desc	Image
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☐ 19. Document ID: US 5946667 A

L17: Entry 19 of 32

File: USPT

Aug 31, 1999

US-PAT-NO: 5946667

DOCUMENT-IDENTIFIER: US 5946667 A

TITLE: Data processing system and method for financial debt instruments

Full	Title	Citation	Front	Review	Classification	Date	Reference	Claims	KWC	Draw Desc	Image
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☐ 20. Document ID: US 5911136 A

L17: Entry 20 of 32

File: USPT

Jun 8, 1999

US-PAT-NO: 5911136

DOCUMENT-IDENTIFIER: US 5911136 A

TITLE: System for prioritized operation of a personal financial account comprising liabilities and investment assets

Full	Title	Citation	Front	Review	Classification	Date	Reference	Claims	KWC	Draw Desc	Image
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Search Results - Record(s) 21 through 30 of 32 returned.

☐ 21. Document ID: US 5905974 A

L17: Entry 21 of 32

File: USPT

May 18, 1999

US-PAT-NO: 5905974

DOCUMENT-IDENTIFIER: US 5905974 A

TITLE: Automated auction protocol processor

Full	Title	Citation	Front	Review	Classification	Date	Reference	Claims	KWC	Draw Desc	Image
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☐ 22. Document ID: US 5905975 A

L17: Entry 22 of 32

File: USPT

May 18, 1999

US-PAT-NO: 5905975

DOCUMENT-IDENTIFIER: US 5905975 A

TITLE: Computer implemented methods and apparatus for auctions

Full	Title	Citation	Front	Review	Classification	Date	Reference	Claims	KWC	Draw Desc	Image
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☐ 23. Document ID: US 5903879 A

L17: Entry 23 of 32

File: USPT

May 11, 1999

US-PAT-NO: 5903879

DOCUMENT-IDENTIFIER: US 5903879 A

TITLE: Method of managing a loan for funding a pension

Full	Title	Citation	Front	Review	Classification	Date	Reference	Claims	KWC	Draw Desc	Image
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☐ 24. Document ID: US 5884286 A

L17: Entry 24 of 32

File: USPT

Mar 16, 1999

US-PAT-NO: 5884286

DOCUMENT-IDENTIFIER: US 5884286 A

TITLE: Apparatus and process for executing an expirationless option transaction

Full	Title	Citation	Front	Review	Classification	Date	Reference	Claims	KWC	Draw Desc	Image
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☐ 25. Document ID: US 5873071 A

L17: Entry 25 of 32 File: USPT Feb 16, 1999

US-PAT-NO: 5873071

DOCUMENT-IDENTIFIER: US 5873071 A

TITLE: Computer method and system for intermediated exchange
of commodities

Full	Title	Citation	Front	Review	Classification	Date	Reference	Claims	KWC	Draw Desc	Image
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☐ 26. Document ID: US 5852811 A

L17: Entry 26 of 32 File: USPT Dec 22, 1998

US-PAT-NO: 5852811

DOCUMENT-IDENTIFIER: US 5852811 A

TITLE: Method for managing financial accounts by a preferred
allocation of funds among accounts

Full	Title	Citation	Front	Review	Classification	Date	Reference	Claims	KWC	Draw Desc	Image
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☐ 27. Document ID: US 5845266 A

L17: Entry 27 of 32 File: USPT Dec 1, 1998

US-PAT-NO: 5845266

DOCUMENT-IDENTIFIER: US 5845266 A

TITLE: Crossing network utilizing satisfaction density
profile with price discovery features

Full	Title	Citation	Front	Review	Classification	Date	Reference	Claims	KWC	Draw Desc	Image
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☐ 28. Document ID: US 5845265 A

L17: Entry 28 of 32 File: USPT Dec 1, 1998

US-PAT-NO: 5845265

DOCUMENT-IDENTIFIER: US 5845265 A

TITLE: Consignment nodes

Full	Title	Citation	Front	Review	Classification	Date	Reference	Claims	KWC	Draw Desc	Image
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☐ 29. Document ID: US 5826244 A

L17: Entry 29 of 32

File: USPT

Oct 20, 1998

US-PAT-NO: 5826244

DOCUMENT-IDENTIFIER: US 5826244 A

TITLE: Method and system for providing a document service
over a computer network using an automated brokered auction

Full	Title	Citation	Front	Review	Classification	Date	Reference	Claims	KWC	Draw Desc	Image
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☐ 30. Document ID: US 5809483 A

L17: Entry 30 of 32

File: USPT

Sep 15, 1998

US-PAT-NO: 5809483

DOCUMENT-IDENTIFIER: US 5809483 A

TITLE: Online transaction processing system for bond trading

Full	Title	Citation	Front	Review	Classification	Date	Reference	Claims	KWC	Draw Desc	Image
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Search Results - Record(s) 31 through 32 of 32 returned.

☐ 31. Document ID: US 5787402 A

L17: Entry 31 of 32

File: USPT

Jul 28, 1998

US-PAT-NO: 5787402

DOCUMENT-IDENTIFIER: US 5787402 A

TITLE: Method and system for performing automated financial transactions involving foreign currencies

Full	Title	Citation	Front	Review	Classification	Date	Reference	Claims	KWIC	Draw Desc	Image
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☐ 32. Document ID: US 5126936 A

L17: Entry 32 of 32

File: USPT

Jun 30, 1992

US-PAT-NO: 5126936

DOCUMENT-IDENTIFIER: US 5126936 A

TITLE: Goal-directed financial asset management system

Full	Title	Citation	Front	Review	Classification	Date	Reference	Claims	KWIC	Draw Desc	Image
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